

Effects of stacking on the configurations and elasticity of single-stranded nucleic acids

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(Received 11 February 2004; revised manuscript received 13 May 2004; published 24 August 2004)

Stacking interactions in single-stranded nucleic acids give rise to configurations of an annealed rod-coil multiblock copolymer. Theoretical analysis identifies the following resulting signatures for long homopoly-nucleotides: a nonmonotonic dependence of size on temperature, the corresponding effects on cyclization and a plateau in the extension force law. Explicit numerical results for polydeoxyadenylate [poly(dA)] and polyriboadenylate [poly(rU)] are presented.

DOI: 10.1103/PhysRevE.70.020902

PACS number(s): 87.15.He, 61.25.Hq, 87.15.Rn

Single-stranded nucleic acids (ssNA) experience stacking interactions [1]. These favor the parallel orientation of adjacent aromatic rings of the bases giving rise to rigid helical domains. Thus far, the possible coupling of stacking and the elasticity of ssNA received little attention, and its existence recently became a subject of debate [2–4]. The issue is further complicated because the relevant thermodynamic and structural parameters reported vary widely. In this paper we present a theoretical analysis of the configurations and elasticity of ssNA subject to stacking, and identify *qualitative* effects signaling the coupling of stacking with the chains' elasticity. Clear signatures of stacking are discernable in long homopolynucleotides, under high salt conditions when loops do not form and electrostatic interactions are negligible. There are two primary effects. One is the occurrence of a minimum in the radius of the chain R as the temperature T is varied. This leads to corresponding effects on the cyclization of the chains. The second effect is a plateau in the extension force law of the ssNA subject to tension f . The analysis utilizes a model for the helix-coil transition in helicogenic polypeptides [5] modified to allow for the weak cooperativity of stacking. It focuses on the differences between ssNA that stack strongly, polydeoxyadenylate [poly(dA)] and polyriboadenylate [poly(rA)], and those that exhibit weak or no stacking, polyribouridylylate [poly(rU)] and polydeoxythymidylate [poly(dT)]. Such long homonucleotides, comprising thousands of nucleotides, can be readily synthesized enzymatically [6]. The results suggest that under physiological conditions, these effects are important only for poly(dA), poly(rA), and ssNA containing large A domains. In the absence of such domains, stacking effects become noticeable at T lower than 10°C .

The extension force laws of λ single-stranded DNA (ssDNA), as measured in optical tweezers or atomic force microscope (AFM) experiments, do not reveal signatures of stacking [7]. The results can be rationalized by considering ssDNA as a freely jointed chain characterized by a single Kuhn length. This basic picture is augmented to allow for loop formation [8] and for electrostatic interactions [9]. However, there is no evidence for large A domains in λ ssDNA, and these measurements were carried out in ambient T , thus precluding, as we shall discuss, significant stacking effects. Stacking effects were reported [2–4] in “molecular beacons” [10]. These are *short* ssDNA chains capable of forming stem-loop structures. One end carries a fluorophore

and the other a quencher. Accordingly, an open hairpin fluoresces and a closed hairpin is quenched. The fluorescence intensity and its fluctuations allow us to extract the fraction of hairpins and the opening and closing rate constants. Experiments by the Libchaber group revealed differences in the cyclization behavior of poly(dT) and poly(dA) loops that were attributed to stacking and its effects on the rigidity of the chains [2,3]. This interpretation was disputed by Ansari *et al.* who ascribed the effects to transient trapping of misfolded loops while arguing that both poly(dT) and poly(dA) behave as flexible polymers [4]. Our analysis does not pertain directly to this system since it concerns long chains. However, the resulting predictions identify clear signatures of stacking when misfolding is not an option.

Stacking involves interactions between nearest neighbors and is thus noncooperative or weakly cooperative [1]. It involves a broad transition between the stacked, helical state obtained at low T , and random-coil configurations at high T . At intermediate T , ssNAs comprises of stacked domains interspaced with “melted,” random-coil ones. The polydispersed domains undergo dynamic equilibrium and the overall behavior is of annealed rod-coil multiblock copolymer. The strength of the stacking interactions vary with the identity of the bases. It is strongest between adenosines (A) and it is weakest among uridines (U) and thymines (T). The interactions between chemically different bases are weak. Thus, stacking is most pronounced in poly(dA) and poly(rA), while it is weak in poly(rU) and in heteropolynucleotides without extended A domains. There is evidence that stacking does not occur in poly(dT). In every case, stacking is insensitive to the concentration of salt. We consider ssNA homopolymers comprising $N \gg 1$ identical monomers, nucleotides, of which θN are stacked. The stacked bases form yN helical domains. In comparison to the nonstacked bases, the excess free energy of each stacked monomer is $\Delta f = \Delta h - T\Delta s$. Δh reflects the enthalpy gain associated with the stacking, while Δs allows for the loss of configurational entropy due to the parallel orientation of the stacked bases. The reported values of Δh and Δs vary widely. For poly(rA) Δh ranges between -3 to -10 kcal/mole while Δs values span the range -10 to -27 e.u. In our calculations we will use two sets of values: $\Delta h = -13$ kcal/mole and $\Delta s = -40$ e.u. as reported for poly(dA) as well as $\Delta h = -2.7$ kcal/mole and $\Delta s = -10$ e.u. as reported for U stacks [1]. This choice brackets the range of reported parameters and will allow us to set

tentative boundaries of the experimental conditions to explore. The terminal monomers of the domain experience stacking interaction with one neighbor rather than two. The reduction in their configurational entropy is possibly weaker. To allow for these two effects we assign each terminal monomer with an additional free energy Δf_t . The corresponding Zimm and Bragg parameters are $s = \exp(-\Delta f/kT)$ and $\sigma = \exp(-2\Delta f_t/kT)$ [11]. The θ vs T melting curves are broad, leading to $0.5 \leq \sigma \leq 1$ and suggesting weak cooperativity. For simplicity we will assume perfect noncooperative behavior with $\sigma = 1$. For comparison, in helicogenic polypeptides the i th monomer binds the monomer $i+3$, thus giving rise to higher cooperativity, signaled by much smaller σ values, of order $10^{-2} - 10^{-3}$ [12]. The distance between the bases in the stacked form varies between 0.32 and 0.35 nm, depending on the measurement technique and T . In the following, we will thus assign a value of $b = 0.34$ nm to the projected length of a stacked monomer along the axis of the helical domain. Because of the noncooperativity of the stacking, the helical domains are relatively short. While their persistence length is not known we will assume that it is much longer than the typical domain length and thus effectively infinite. In contrast we assume that the unstacked domains behave as freely jointed chains and we neglect excluded volume effects. Two parameters thus characterize the coil-like domains: the effective length of an unstacked monomer, a , and the persistence length of the coil, λ . Neither is well established. A common value for a is 0.6 nm [7] while reported values of λ , for stacking-free chains, vary between 0.75 and 3.5 nm [13]. We will utilize $\lambda = a$ and $\lambda = 3.5$ nm. The free energy of an unconstrained homonucleotide within this model is [5]

$$\frac{F_0}{NkT} = -\theta \ln s + y \sum_n [p_s(n) \ln p_s(n) + p_u(n) \ln p_u(n)] - \sum_n [(\mu_1^s + n\mu_2^s)p_s(n) + (\mu_1^u + n\mu_2^u)p_u(n)]. \quad (1)$$

The first term allows for the excess free energy of the stacked bases. The next two terms specify the mixing entropy arising from the polydispersities of the stacked and coil-like domains where $p_{s(u)}(n)$ is the probability of a stacked (unstacked) domain comprising of n bases. The last four terms impose two constraints by use of Lagrange multipliers. $\mu_1^{s(u)}$ assures the probability normalization while $\mu_2^{s(u)}$ imposes the average number of monomers, θ/y and $(1-\theta)/y$, respectively, in these domains [5]. The equilibrium conditions, $\partial F_0/\partial \theta = \partial F_0/\partial y = 0$, yield $\theta = s/(s+1)$, $y = s/(s+1)^2 = \theta(1-\theta)$, $p_s(n) = (1-\theta)\theta^{n-1}$, and $p_u(n) = \theta(1-\theta)^{n-1}$. The average number of bases in a stacked and unstacked domain are thus, respectively, $\langle n \rangle_s = \sum_n n p_s(n) = 1/(1-\theta)$ and $\langle n \rangle_u = \sum_n n p_u(n) = 1/\theta$.

The simplest characteristic of stacking is the T dependence of the mean square radius of the chain, $\langle R^2 \rangle$, as determined by the end-to-end distance R . Assuming that the rod and coil segments are freely jointed, the contributions of stacked and unstacked monomers to $\langle R^2 \rangle$ are independent. The $(1-\theta)N$ unstacked monomers in coil domains with a persistence length λ constitute $Na(1-\theta)/2\lambda$ freely jointed

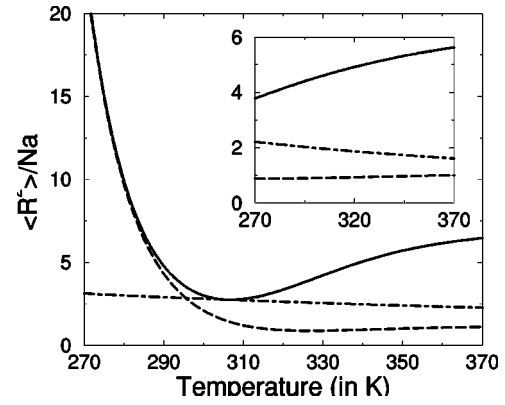


FIG. 1. Plots of $\langle R^2 \rangle / Na = 2 l_p$ vs T for poly(dA), with l_p given by Eq. (3), exhibit a minimum at $T_{\min} = 307$ K for $\lambda = 3.5$ nm (solid line), $T_{\min} = 327$ K for $\lambda = 0.6$ nm (dashed line) in comparison with $\langle R^2 \rangle / Na$ vs T when $l_p = \kappa/kT$ (dotted-dashed line). κ was set by equating l_p at T_{\min} for $\lambda = 3.5$ nm. The inset depicts the same plots for poly (rU).

segments of length 2λ contributing $(2\lambda)^2 Na(1-\theta)/2\lambda$ to $\langle R^2 \rangle$. Of the θN stacked monomers, the ones that form domains incorporating n bases contribute $N\theta p_s(n)/\langle n \rangle_s$ freely jointed segments of length nb . Altogether,

$$\langle R^2 \rangle = N2\lambda a(1-\theta) + Nb^2 \theta \langle n^2 \rangle_s / \langle n \rangle_s, \quad (2)$$

where $\langle n^2 \rangle_s / \langle n \rangle_s = (1+\theta)/(1-\theta)$ [14]. The qualitatively important feature of $\langle R^2 \rangle$ is a minimum (Fig. 1) at $\theta_{\min} = 1 - \sqrt{2b^2/(2\lambda a + b^2)}$. This effect disappears if we ignore the differences between the size of the monomer in the two states ($2\lambda a = b^2$). It reflects a competition between two contributing processes: (i) the number of effective monomers increases with T because the number and the size of the helical domain decrease, and (ii) the size of the chain at low T is dominated by a single helical domain whose length scales with N rather than with $N^{1/2}$. For the values of a and b we utilize the minimum attained at $\theta = 0.769$ or $s = 3.32$ for $\lambda = 3.5$ nm and at $\theta = 0.474$ or $s = 0.9$ for $\lambda = 0.6$ nm. Thus, for poly (dA) the corresponding T_{\min} values are $T_{\min} = 33.6^\circ\text{C}$ and 53.5°C , while for poly (rU), $T_{\min} = -55^\circ\text{C}$ and 2.5°C . A closely related effect occurs in helicogenic polypeptides as they undergo a cooperative helix-coil transition [12].

ssNA chains are occasionally considered as semiflexible, wormlike chains. In this case, it is implicitly assumed that the properties of the chain along its backbone are uniform. In the limit of $N \gg 1$, semiflexible chains obey $\langle R^2 \rangle = 2l_p L$, where l_p is the persistence length and $L = Na$ is the contour length. Within this model $l_p = \kappa/kT$ where $\kappa = \text{const}$ is the bending modulus of the chain. If the behavior of the ssDNA is analyzed within this framework while the chain obeys the stacking model, the T dependence of l_p thus extracted is given by

$$2l_p = 2\lambda(1-\theta) + (b^2/a) \theta (1+\theta)/(1-\theta). \quad (3)$$

At high T , when $\theta \rightarrow 0$, $l_p \approx \lambda$ while for low T , when $\theta \rightarrow 1$ it diverges as $l_p \sim b^2/a(1-\theta) \sim b^2 \exp(-\Delta f/kT)/a$. This T dependence is markedly different from the $1/T$ behavior pre-

dicted by the wormlike chain model (Fig. 1).

A related signature involves cyclization reactions. Cyclization reactions require the two ends of the chain to be within a certain capture radius, $r_c \ll \langle R^2 \rangle^{1/2}$. The thermodynamics of the ring formation are determined by $P(R)dR$, the probability for the end-to-end distance of the chain to be in the range $R-R+dR$. When $N \gg 1$, $P(R)$ of flexible homopolymers, behaving as freely jointed chains with constant monomer size, assumes a Gaussian form [15]: $P(R) = 4\pi R^2 [2\pi \langle R^2(\theta) \rangle / 3]^{-3/2} \exp[-3R^2/2 \langle R^2(\theta) \rangle]$. This result applies to ssDNA in the limit of $\theta \rightarrow 0$, when the effect of the stacking is negligible. Clearly, it is wrong when $\theta \rightarrow 1$ and the configurations are dominated by a single, long stacked domain. For $\theta > 0$, the Gaussian form is valid provided $N \gg 1$ and $yN \gg 1$, i.e., the stacked monomers form a large number of domains. The polymer may then be considered as a freely jointed chain whose effective monomers are rod-coil diblocks of varying sizes. The Gaussian form is applicable in this regime since the probability distribution of lengths of the rod-coil "monomers," while unspecified, is identical for all rod-coil diblocks [16]. The cyclization equilibrium is ruled by the elastic free energy arising from constraining R , $F_{el} = -kT \ln P(R) = -kT \ln [R^2 / \langle R^2(\theta) \rangle^{3/2}] + 3kTR^2 / 2 \langle R^2(\theta) \rangle + cste$. This F_{el} has negligible effect on θ and y because its contribution to the equilibrium conditions arises from $R^2 / \langle R^2(\theta) \rangle$. For $R \ll N^{1/2}a$ the corresponding terms scale as $1/N$ and are thus negligible. To obtain the precise cyclization penalty it is important to allow for the weighted contributions of all the configurations with $R \leq r_c$. Since for $r_c \ll \langle R^2(\theta) \rangle^{1/2}$, the exponent in $P(R)$ is of order unity, the fraction of cyclizable states within the freely jointed chain model is $\int_0^{r_c} P(R) dR \sim [r_c / \langle R^2(\theta) \rangle^{1/2}]^3$. For self-avoiding chains $\langle R^2(\theta) \rangle^{1/2}$ is replaced by the θ -dependent Flory radius [17]. Altogether the cyclization entropy for $r_c \ll N^{1/2}a$ is $S_{cyc}(\theta) = 3k \ln [r_c / \langle R^2(\theta) \rangle^{1/2}]$ and the equilibrium constant for the cyclization reaction is specified by $kT \ln K_{cyc} = \Delta H - TS_{cyc}(\theta)$, where ΔH is the binding enthalpy of the terminal groups. The activation free energy for cyclization, ΔF_{cyc}^\ddagger may be identified with $-TS_{cyc}(\theta) \sim kT \ln \langle R^2(\theta) \rangle^{3/2}$ [3]. This ΔF_{cyc}^\ddagger exhibits a minimum at θ_{min} and thus at the corresponding T_{min} . We should emphasize that this analysis is not valid when the chain contains a large stacked domain comprising most of the monomers. In this case the bending of the chain can induce melting of the stacked domain, thus introducing a coupling of θ and the cyclization: The elastic free energy of fully stacked chain of length $L = l_p = \kappa/kT$ forming a ring of radius $R = L/2\pi$ is $\kappa L / 2R^2 = 2\pi^2 kT \approx 20kT$ while the reported stacking free energy per base at 25°C is in the range of 2–8kT. This rough argument suggests that the chain can lower its free energy by "melting" a few stacks, thus avoiding the bending penalty.

When the reaction between the terminal groups is diffusion controlled, the cyclization rate constant assumes the form $k_{cyc} \sim 1/\tau$ where τ is the longest characteristic time of the chain [18]. If hydrodynamic interactions are neglected, $\tau = \tau_R$ where $\tau_R \approx (\eta_s a^3 / kT) N^2$ is the Rouse time and η_s is the solvent viscosity. Allowing for hydrodynamic interaction leads to $\tau = \tau_Z$ where $\tau_Z \approx \eta_s R^3 / kT$ is the Zimm time. When excluded, volume interaction are negligible $\tau_Z \sim N^{3/2}$, while

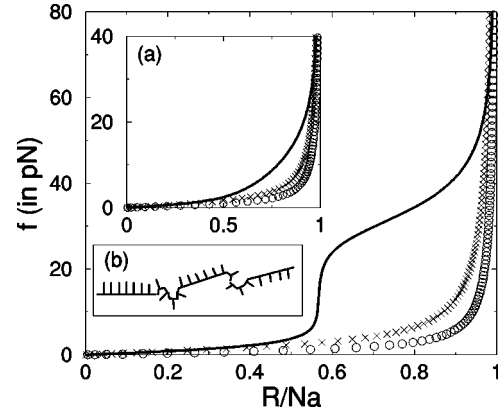


FIG. 2. Plots of f vs R/Na for poly(dA) at $T=25^\circ\text{C}$ as obtained from the stacking model Eq. (5) with $\lambda=3.5$ nm (—), from the freely jointed chain model with l_p given by Eq. (3) (xxxx) and with $l_p=3.5$ nm (oooo). Insets: (a) depicts the same plots for poly(rU) at 10°C . (b) Schematic picture of ssDNA with stacked blocks.

in the opposite case $\tau_Z \sim N^{3\nu}$ where $\nu=0.588$. Experimental studies of cyclization of synthetic polymers in nonaqueous solutions are consistent with $k_{cyc} \sim N^{-3/2}$. Our discussion suggests thus that the cyclization rate constant of long ssDNA will follow $k_{cyc} \sim \langle R^2(\theta) \rangle^{-3/2}$ with a maximum at θ_{min} .

The T dependence of R , K_{cyc} , and k_{cyc} is recovered if we consider the ssDNA as a wormlike chain with a persistence length l_p given by Eq. (3). This picture fails qualitatively when considering the extension force law. To obtain it, we augment F_0 with the elastic free energy, F_{el} , of a freely jointed chain subject to tension f [19]. A Kuhn length 2λ is assigned to the coil domains while each of the rigid helical domains orients as an independent effective monomer. Allowing for the size distribution of the stacked, helical domains, we obtain [5]

$$\frac{F_{el}}{NkT} = -\frac{1-\theta}{\delta} \mathcal{L}_{int}(\delta x) - y \sum_n P_s(n) \mathcal{L}_{int}(\gamma n x), \quad (4)$$

where $\delta=2\lambda/a$, $\gamma=b/a$, $\mathcal{L}_{int}(x) = \ln[\sinh(x)/x]$, and $x = fa/kT$. Minimization of $F = F_0 + F_{el}$ in the constant f ensemble yields θ , y , $p_{s(u)}(n)$ [20], and the force law in the form

$$\frac{R}{Na} = (1-\theta)L(\delta x) + \theta\gamma \coth(\gamma x) - \frac{\theta\gamma sA}{\sinh(\gamma x)} - \frac{y}{x}. \quad (5)$$

At low T the force laws obtained from this model exhibit a smoothed plateau associated with the enhancement of stacking by the applied force (Fig. 2). Initially, the extension lowers the entropy of the chain, thus favoring the ordered, stacked domains. Eventually, further stretching enforces melting of the stacks in order to release the stored length ($b=0.34$ nm vs $a=0.6$ nm). For our choice of parameters, poly(dA) exhibits a pronounced plateau at $T=25^\circ\text{C}$ while for poly(rU), significant deviations from the freely jointed chain appear below $T=10^\circ\text{C}$. No deviations are expected for poly(dT). Modeling the chain as a freely jointed chain with a T -dependent Kuhn length (3) does not recover this plateau because it does not allow for the coupling between the stack-

ing and the extension. Accordingly, single molecule stretching experiments provide a stringent test, allowing us to distinguish between the conflicting views on the effect of stacking on the elasticity of ssDNA.

Straightforward modification of models for the helix-coil transition in polypeptides allowed us to study the effects of stacking interactions on the configurational and elastic properties of homonucleotides. In particular, we investigated the dependence of $\langle R^2 \rangle^{1/2}$, K_{cyc} , k_{cyc} , and the force laws, f vs R , on T . The most noticeable effects are extrema in the plots of $\langle R^2 \rangle^{1/2}$ and k_{cyc} vs T as well as the appearance of a plateau in the force law. The numerical results are based on reported values of Δh , Δs , a , b , and λ , as obtained from experiments.

In confronting our predictions with future experiments it is important to note that the reported parameters vary widely with the measurement technique and the experimental conditions. They also depend on the model used to analyze the data. With this caveat in mind, the qualitative effects we discuss provide powerful diagnostics for the coupling of stacking interactions with the elastic properties of ssNA. These predictions are meaningful because spectroscopic evidence indicates significant stacking in poly(dA) and poly(rA) at 20°C, irrespective of the precise values of Δh , Δs , a , and λ . The results presented above can also be used to test the performance of various sets of Δh , Δs , a , b , and λ in recovering the observable $\langle R^2 \rangle^{1/2}$ vs T or f vs R plots.

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- [14] $\langle R^2 \rangle$ for small θ can be approximated by ignoring the polydispersity of the helical domains. The ssNA comprises thus of $Na(1-\theta)/2\lambda$ unstacked domains of size 2λ , and $N\theta(1-\theta)$ helical domains of length $Nb/(1-\theta)$. Both the unstacked and helical domains are freely jointed, leading accordingly to $\langle R^2 \rangle \approx N2\lambda a(1-\theta) + Nb^2\theta/(1-\theta)$.
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- [20] θ and y are solutions of $\theta\gamma x(1-sAe^{\gamma x})(1-sAe^{-\gamma x}) = (1-\theta-y)sA(x, \theta, y)\sinh(\gamma x)$ and $2\gamma xy = (1-\theta-y) \times \ln[(1-sAe^{-\gamma x})/(1-sAe^{\gamma x})]$, where $A(x, \theta, y) = [(1-\theta-y)/(1-\theta)][\delta x/\sinh(\delta x)]^{1/\delta}$. The probabilities $p_{s(u)}(n)$ are specified by $p_s(n) = (1-\theta-y)(sA)^n \sinh(n\gamma x)/n\gamma xy$ and $p_u(n) = [(1-\theta-y)/(1-\theta)]^n y/(1-\theta-y)$.